



MONTHLY UTILITIES FINANCIAL UPDATE

November 2016

Mayor Steve Collier
City Controller Jason C. Fenwick

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MUNICIPAL WATER WORKS UTILITY

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MONTHLY UTILITIES FINANCIAL UPDATE

Municipal Water Works Utility
November 2016

Summary

City of Lawrence - Municipal Water Works Utility
Summary - All Funds

Net Cash Position Summary
As of November 30, 2016

	2016 YTD	12/31/15	12/31/14	12/31/13	12/31/12	12/31/11
Operating Fund						
601 - Water Operating Fund ¹	\$ 326,948	\$ (1,095,397)	\$ (637,848)	\$ (1,897,000)	\$ 605,646	\$ 1,269,278
Restricted Funds						
602 - Bond and Interest Sinking	\$ 817,285	\$ -	\$ -	\$ (1,000)	\$ (1,000)	\$ (1,000)
605 - Water Utility Bond Proceeds	-	-	-	-	313,000	313,000
610 - Water '09 Bond Proceeds	-	-	-	(33,430)	163,214	163,214
617 - Capital Improvement Fund	-	(377,734)	-	58,197	319,890	386,863
Total Restricted Funds	\$ 817,285	\$ (377,734)	\$ -	\$ 23,767	\$ 795,104	\$ 862,077
Restricted Debt Service Reserve Funds						
604 - Water Bond Debt Service Reserve	\$ 663,858	\$ 663,744	\$ 663,744	\$ 663,508	\$ 663,854	\$ 664,144
614 - Water Bond Debt Service Reserve '09	395,414	395,346	395,232	395,232	338,949	226,130
Total Debt Service Reserve Funds	\$ 1,059,272	\$ 1,059,090	\$ 1,058,976	\$ 1,058,739	\$ 1,002,803	\$ 890,274
Total Water Utility Cash Position	\$ 2,203,504	\$ (414,041)	\$ 421,128	\$ (814,494)	\$ 2,403,553	\$ 3,021,629

(1) - Water Operating Fund includes proceeds from a temporary loan received from the Sewer Operating fund in the amount of \$1,500,000



MONTHLY UTILITIES FINANCIAL UPDATE

Municipal Water Works Utility
November 2016

Operating Fund

City of Lawrence - Municipal Water Works Utility

601 - Water Utility Operating Fund

Statement of Receipts, Disbursements, and Net Cash Position

As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Operating Receipts						
Residential Metered Sales	\$ 291,989	\$ 220,606	\$ 71,383	\$ 3,571,759	\$ 3,295,060	\$ 276,700
Commercial Metered Sales	137,959	112,096	25,862	1,565,266	1,396,176	169,090
Private Fire Protection	18,210	5,808	12,402	242,037	202,601	39,436
Hydrant Fees	10,704	3,930	6,774	132,455	110,391	22,064
Penalties	15,789	6,450	9,339	139,865	113,842	26,023
New Meters	3,305	1,615	1,690	53,989	39,092	14,898
Other	-	-	-	-	-	-
Miscellaneous	1,591	-	1,591	22,658	13,446	9,212
Total Operating Receipts	\$ 479,547	\$ 350,505	\$ 129,041	\$ 5,728,030	\$ 5,170,608	\$ 557,422
Operating Disbursements						
Salaries and Benefits	\$ 121,949	\$ 142,897	\$ (20,948)	\$ 1,510,612	\$ 1,623,342	\$ (112,730)
Professional and Contractual Services	46,321	11,953	34,368	520,030	311,578	208,452
Materials and Supplies	46,544	8,260	38,285	351,468	487,578	(136,111)
Transportation Expense	2,893	3,186	(293)	79,027	69,725	9,302
Insurance Expense	-	-	-	68,393	60,013	8,380
Chemicals	3,714	-	3,714	87,713	101,763	(14,049)
Rental of Equipment	810	312	497	3,934	4,468	(535)
Purchased Power	22,863	21,311	1,552	286,687	254,121	32,566
Miscellaneous Expense	28,628	24,798	3,830	275,222	202,881	72,341
Contractual Payment to City	113,109	105,974	7,135	1,244,197	1,218,701	25,496
Other Charges	-	-	-	-	-	-
Total Operating Disbursements	\$ 386,829	\$ 318,691	\$ 68,139	\$ 4,427,284	\$ 4,334,171	\$ 93,113

City of Lawrence - Municipal Water Works Utility

601 - Water Utility Operating Fund

Statement of Receipts, Disbursements, and Net Cash Position As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Net Operating Receipts/(Disbursements)	\$ 92,717	\$ 31,815	\$ 60,903	\$ 1,300,746	\$ 836,437	\$ 464,309
Non-Operating Receipts/(Disbursements)						
Sales Tax	\$ -	\$ 21,982	\$ (21,982)	\$ 139,664	\$ 313,674	\$ (174,010)
EDU Fees	7,500	4,800	2,700	136,350	153,810	(17,460)
Transfers-In	-	-	-	0	-	0
Loan from Sewer Utility	-	-	-	1,500,000	-	1,500,000
Other Receipts	9,784	8,541	1,243	159,580	115,649	43,931
Transfers-Out	(102,757)	-	(102,757)	(1,508,061)	-	(1,508,061)
Accounts Payable	(2,336)	1,742	(4,078)	(5,830)	(5,278)	(552)
Principal Payment	-	-	-	-	-	-
Interest Expense	(41,576)	(44,820)	3,244	(42,447)	(418,561)	376,114
Capital Leases	(78,520)	(74,470)	(4,050)	(89,608)	(158,164)	68,556
Sales Tax Payable	(2,406)	(32,872)	30,466	(168,049)	(298,898)	130,849
Other	-	-	-	-	(35,963)	35,963
Total Non-Operating Receipts/(Disbursements)	\$ (210,311)	\$ (115,098)	\$ (95,213)	\$ 121,598	\$ (333,731)	\$ 455,329
Net Receipts	\$ (117,594)	\$ (83,283)	\$ (34,311)	\$ 1,422,345	\$ 502,706	\$ 919,639
Beginning Cash Position	\$ 444,542	\$ (51,859)	\$ 496,400	\$ (1,095,397)	\$ (637,848)	\$ (457,549)
Change in Cash Position	(117,594)	(83,283)	(34,311)	1,422,345	502,706	919,639
Ending Cash Position	\$ 326,948	\$ (135,142)	\$ 462,090	\$ 326,948	\$ (135,142)	\$ 462,090

City of Lawrence - Municipal Water Works Utility

601 - Water Utility Operating Fund

Comparison Statement of Receipts, Disbursements, and Net Cash Position For the Month Ended: November 30

	<u>MONTH-TO-DATE</u>				
	2016	2015	2014	2013	2012
Operating Receipts					
Residential Metered Sales	\$ 291,989	\$ 220,606	\$ 260,756	\$ 275,485	\$ 308,128
Commercial Metered Sales	137,959	112,096	120,223	138,315	125,744
Private Fire Protection	18,210	5,808	16,290	17,886	16,978
Hydrant Fees	10,704	3,930	8,520	11,143	10,625
Penalties	15,789	6,450	7,624	12,658	13,105
New Meters	3,305	1,615	949	475	2,516
Other	-	-	-	-	-
Miscellaneous	1,591	-	10,415	-	26,225
Total Operating Receipts	\$ 479,547	\$ 350,505	\$ 424,778	\$ 455,962	\$ 503,321
Operating Disbursements					
Salaries and Benefits	\$ 121,949	\$ 142,897	\$ 135,615	\$ 116,229	\$ 120,297
Professional and Contractual Services	46,321	11,953	22,738	34,343	42,464
Materials and Supplies	46,544	8,260	36,208	15,572	44,234
Transportation Expense	2,893	3,186	11,417	6,181	20,335
Insurance Expense	-	-	961	1,498	1,548
Chemicals	3,714	-	8,338	12,053	6,948
Rental of Equipment	810	312	1,012	925	3,539
Purchased Power	22,863	21,311	102,741	46,193	20,147
Miscellaneous Expense	28,628	24,798	19,846	17,426	43,882
Contractual Payment to City	113,109	105,974	158,961	1,125,626	317,922
Other Charges	-	-	-	-	-
Total Operating Disbursements	\$ 386,829	\$ 318,691	\$ 497,834	\$ 1,376,046	\$ 621,317

City of Lawrence - Municipal Water Works Utility

601 - Water Utility Operating Fund

Comparison Statement of Receipts, Disbursements, and Net Cash Position For the Month Ended: November 30

	<u>MONTH-TO-DATE</u>				
	2016	2015	2014	2013	2012
Net Operating Receipts/(Disbursements)	\$ 92,717	\$ 31,815	\$ (73,057)	\$ (920,084)	\$ (117,996)
Non-Operating Receipts/(Disbursements)					
Sales Tax	\$ -	\$ 21,982	\$ 25,555	\$ 26,826	\$ 28,915
EDU Fees	7,500	4,800	6,150	3,000	16,500
Transfers-In	-	-	-	-	-
Loan from Sewer Utility	-	-	-	-	-
Other Receipts	9,784	8,541	8,587	5,238	10,554
Transfers-Out	(102,757)	-	-	-	-
Accounts Payable	(2,336)	1,742	6,035	1,942	525
Principal Payment	-	-	(255,000)	-	-
Interest Expense	(41,576)	(44,820)	(208,528)	(50,808)	(19)
Capital Leases	(78,520)	(74,470)	(69,831)	(67,173)	(131)
Sales Tax Payable	(2,406)	(32,872)	(33,289)	(41,438)	(36,927)
Other	-	-	(10,379)	-	-
Total Non-Operating Receipts/(Disbursements)	\$ (210,311)	\$ (115,098)	\$ (530,700)	\$ (122,414)	\$ 19,417
Net Receipts	\$ (117,594)	\$ (83,283)	\$ (603,756)	\$ (1,042,498)	\$ (98,579)
Beginning Cash Position	\$ 444,542	\$ (51,859)	\$ (181,863)	\$ 1,050,685	\$ 1,684,999
Change in Cash Position	(117,594)	(83,283)	(603,756)	(1,042,498)	(98,579)
Ending Cash Position	\$ 326,948	\$ (135,142)	\$ (785,620)	\$ 8,187	\$ 1,586,420

City of Lawrence - Municipal Water Works Utility

601 - Water Utility Operating Fund

Comparison Statement of Receipts, Disbursements, and Net Cash Position For the Year-to-Date Period Ended: November 30

	<u>YEAR-TO-DATE</u>				
	2016	2015	2014	2013	2012
Operating Receipts					
Residential Metered Sales	\$ 3,571,759	\$ 3,295,060	\$ 3,391,797	\$ 3,482,808	\$ 3,616,564
Commercial Metered Sales	1,565,266	1,396,176	1,430,141	1,442,949	1,443,227
Private Fire Protection	242,037	202,601	202,438	204,715	182,952
Hydrant Fees	132,455	110,391	122,656	125,731	116,423
Penalties	139,865	113,842	104,771	149,011	98,081
New Meters	53,989	39,092	20,566	21,400	12,674
Other	-	-	-	-	-
Miscellaneous	22,658	13,446	12,974	10,376	300,844
Total Operating Receipts	\$ 5,728,030	\$ 5,170,608	\$ 5,285,344	\$ 5,436,992	\$ 5,770,765
Operating Disbursements					
Salaries and Benefits	\$ 1,510,612	\$ 1,623,342	\$ 1,550,553	\$ 1,468,263	\$ 1,451,632
Professional and Contractual Services	520,030	311,578	489,157	269,853	394,377
Materials and Supplies	351,468	487,578	620,674	218,489	311,193
Transportation Expense	79,027	69,725	134,657	94,361	78,249
Insurance Expense	68,393	60,013	72,897	103,357	59,071
Chemicals	87,713	101,763	107,661	105,823	88,707
Rental of Equipment	3,934	4,468	4,334	19,903	13,186
Purchased Power	286,687	254,121	353,183	263,031	250,741
Miscellaneous Expense	275,222	202,881	176,041	192,006	230,338
Contractual Payment to City	1,244,197	1,218,701	1,748,571	2,715,236	1,748,571
Other Charges	-	-	-	1,497	-
Total Operating Disbursements	\$ 4,427,284	\$ 4,334,171	\$ 5,257,729	\$ 5,451,819	\$ 4,626,065

City of Lawrence - Municipal Water Works Utility

601 - Water Utility Operating Fund

Comparison Statement of Receipts, Disbursements, and Net Cash Position For the Year-to-Date Period Ended: November 30

	<u>YEAR-TO-DATE</u>				
	2016	2015	2014	2013	2012
Net Operating Receipts/(Disbursements)	\$ 1,300,746	\$ 836,437	\$ 27,615	\$ (14,827)	\$ 1,144,700
Non-Operating Receipts/(Disbursements)					
Sales Tax	\$ 139,664	\$ 313,674	\$ 326,028	\$ 330,916	\$ 333,844
EDU Fees	136,350	153,810	170,100	129,450	85,750
Transfers-In	0	-	1,061,394	-	-
Loan from Sewer Utility	1,500,000	-	-	-	-
Other Receipts	159,580	115,649	108,171	116,721	160,770
Transfers-Out	(1,508,061)	-	(1,061,394)	(276,556)	(56,556)
Accounts Payable	(5,830)	(5,278)	20,678	16,326	20,932
Principal Payment	-	-	(255,000)	-	-
Interest Expense	(42,447)	(418,561)	(599,230)	(456,116)	(473,316)
Capital Leases	(89,608)	(158,164)	(145,584)	(137,133)	(128,809)
Sales Tax Payable	(168,049)	(298,898)	(629,610)	(306,240)	(768,623)
Other	-	(35,963)	2,088,212	-	(1,551)
Total Non-Operating Receipts/(Disbursements)	\$ 121,598	\$ (333,731)	\$ 1,083,766	\$ (582,633)	\$ (827,559)
Net Receipts	\$ 1,422,345	\$ 502,706	\$ 1,111,381	\$ (597,460)	\$ 317,142
Beginning Cash Position	\$ (1,095,397)	\$ (637,848)	\$ (1,897,000)	\$ 605,646	\$ 1,269,278
Change in Cash Position	1,422,345	502,706	1,111,381	(597,460)	317,142
Ending Cash Position	\$ 326,948	\$ (135,142)	\$ (785,620)	\$ 8,187	\$ 1,586,420



MONTHLY UTILITIES FINANCIAL UPDATE

Municipal Water Works Utility
November 2016

Restricted Funds

City of Lawrence - Municipal Water Works Utility
602 - Bond and Interest Sinking Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Transfers In	\$ 102,757	\$ -	\$ 102,757	\$ 1,130,327	\$ -	\$ 1,130,327
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ 102,757	\$ -	\$ 102,757	\$ 1,130,327	\$ -	\$ 1,130,327
Operating Disbursements						
Principal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Interest	-	-	-	280,955	-	280,955
Other	1,500	-	1,500	32,087	-	32,087
Total Operating Disbursements	\$ 1,500	\$ -	\$ 1,500	\$ 313,042	\$ -	\$ 313,042
Net Receipts	\$ 101,257	\$ -	\$ 101,257	\$ 817,285	\$ -	\$ 817,285
Beginning Cash Position						
Change in Cash Position	101,257	-	101,257	817,285	-	817,285
Ending Cash Position	\$ 817,285	\$ -	\$ 817,285	\$ 817,285	\$ -	\$ 817,285

City of Lawrence - Municipal Water Works Utility
617 - Capital Improvement Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Transfers In	\$ -	\$ -	\$ -	\$ 377,734	\$ -	\$ 377,734
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ -	\$ -	\$ -	\$ 377,734	\$ -	\$ 377,734
Operating Disbursements						
Professional and Contractual Services	\$ -	\$ -	\$ -	\$ -	\$ 377,734	\$ (377,734)
Other	-	-	-	0	-	0
Total Operating Disbursements	\$ -	\$ -	\$ -	\$ 0	\$ 377,734	\$ (377,734)
Net Receipts	\$ -	\$ -	\$ -	\$ 377,734	\$ (377,734)	\$ 755,468
Beginning Cash Position	\$ -	\$ (377,734)	\$ 377,734	\$ (377,734)	\$ -	\$ (377,734)
<u>Change in Cash Position</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>377,734</u>	<u>(377,734)</u>	<u>755,468</u>
Ending Cash Position	\$ -	\$ (377,734)	\$ 377,734	\$ -	\$ (377,734)	\$ 377,734



MONTHLY UTILITIES FINANCIAL UPDATE

Municipal Water Works Utility
November 2016

Restricted Debt Service Reserve Funds

City of Lawrence - Municipal Water Works Utility
604 - Water Bond Debt Service Reserve Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Interest on Investment	\$ -	\$ -	\$ -	\$ 660	\$ -	\$ 660
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ -	\$ -	\$ -	\$ 660	\$ -	\$ 660
Operating Disbursements						
Principal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Interest	-	-	-	-	-	-
Bank Service Charges	-	-	-	546	-	546
Other	-	-	-	-	-	-
Total Operating Disbursements	\$ -	\$ -	\$ -	\$ 546	\$ -	\$ 546
Net Receipts	\$ -	\$ -	\$ -	\$ 114	\$ -	\$ 114
<hr/>						
Beginning Cash Position	\$ 663,858	\$ 663,744	\$ 114	\$ 663,744	\$ 663,744	\$ (0)
<u>Change in Cash Position</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>114</u>	<u>-</u>	<u>114</u>
Ending Cash Position	\$ 663,858	\$ 663,744	\$ 114	\$ 663,858	\$ 663,744	\$ 114

City of Lawrence - Municipal Water Works Utility
614 - Water Bond Debt Service Reserve '09 Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Interest on Investment	\$ -	\$ -	\$ -	\$ 393	\$ -	\$ 393
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ -	\$ -	\$ -	\$ 393	\$ -	\$ 393
Operating Disbursements						
Principal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Interest	-	-	-	-	-	-
Bank Service Charges	-	-	-	325	-	325
Other	-	-	-	-	-	-
Total Operating Disbursements	\$ -	\$ -	\$ -	\$ 325	\$ -	\$ 325
Net Receipts	\$ -	\$ -	\$ -	\$ 68	\$ -	\$ 68
Beginning Cash Position	\$ 395,414	\$ 395,232	\$ 182	\$ 395,346	\$ 395,232	\$ 114
<u>Change in Cash Position</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>68</u>	<u>-</u>	<u>68</u>
Ending Cash Position	\$ 395,414	\$ 395,232	\$ 182	\$ 395,414	\$ 395,232	\$ 182

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MUNICIPAL SEWAGE WORKS UTILITY

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MONTHLY UTILITIES FINANCIAL UPDATE

Municipal Sewage Works Utility
November 2016

Summary

City of Lawrence - Municipal Sewage Works Utility

Summary - All Funds

Net Cash Position Summary As of November 30, 2016

	2016 YTD	12/31/15	12/31/14	12/31/13	12/31/12	12/31/11
Operating Fund						
606 - Sewer Operating Fund ¹	\$ 2,851,674	\$ 4,722,323	\$ 5,558,713	\$ 3,706,500	\$ 3,871,972	\$ 2,385,582
Restricted Funds						
607 - Sewer Bond and Interest Sinking	\$ 439,640	\$ (47,802)	\$ -	\$ (1,000)	\$ (1,000)	\$ (1,000)
609 - Sewer Bond Proceeds	-	-	-	50,580	50,580	50,580
611 - Sewer '09 Bond Proceeds	-	(97,632)	-	(31,008)	729,534	1,650,709
616 - Sewer SRF Loan	-	(95,604)	-	(30,434)	(30,434)	(133,927)
618 - Sewer Capital Improvement	95,000	(343,230)	-	1,533,408	1,917,857	1,952,037
Total Restricted Funds	\$ 534,640	\$ (584,268)	\$ -	\$ 1,521,546	\$ 2,666,537	\$ 3,518,398
Restricted Debt Service Reserve Funds						
608 - Sewer Debt Service Reserve	233,251	233,251	233,251	233,226	233,693	234,143
615 - Sewer Debt Service Reserve '09	253,830	253,830	253,792	253,792	217,823	145,497
Total Debt Service Reserve Funds	\$ 487,081	\$ 487,081	\$ 487,043	\$ 487,018	\$ 451,516	\$ 379,640
Total Sewer Utility Net Cash Position	\$ 3,873,395	\$ 4,625,136	\$ 6,045,756	\$ 5,715,064	\$ 6,990,025	\$ 6,283,620

(1) - Sewer Operating Fund excludes proceeds made from a temporary loan to the Water Operating fund in the amount of \$1,500,000



MONTHLY UTILITIES FINANCIAL UPDATE

Municipal Sewage Works Utility
November 2016

Operating Fund

City of Lawrence - Municipal Sewage Works Utility
606 - Operating Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	<u>2016</u>	<u>2015</u>	<u>Change</u>	<u>2016</u>	<u>2015</u>	<u>Change</u>
Operating Receipts						
Residential Flat Rate Sales	\$ 334,419	\$ 247,912	\$ 86,507	\$ 4,108,176	\$ 3,808,198	\$ 299,978
Commercial Metered Sales	249,815	203,702	46,113	2,855,289	2,538,486	316,803
Residential Metered Sales	51,032	25,815	25,217	713,287	606,384	106,902
Penalties	17,504	15,244	2,261	165,943	223,076	(57,132)
Miscellaneous Receipts	2,380	2,285	95	43,700	37,308	6,393
Total Operating Receipts	\$ 655,151	\$ 494,958	\$ 160,194	\$ 7,886,395	\$ 7,213,451	\$ 672,944
Operating Disbursements						
Salaries and Benefits	\$ 100,406	\$ 116,867	\$ (16,461)	\$ 1,230,594	\$ 1,327,431	\$ (96,837)
Treatment Expense	175,045	202,212	(27,167)	2,157,159	1,885,941	271,218
Professional and Contractual Services	28,202	24,745	3,458	876,551	1,670,711	(794,160)
Materials and Supplies	28,293	6,497	21,796	208,815	290,528	(81,713)
Transportation Expense	3,154	3,927	(773)	83,943	65,032	18,911
Insurance Expense	-	-	-	68,393	60,013	8,380
Rental of Equipment	810	312	497	3,934	4,388	(455)
Purchased Power	7,698	5,034	2,664	92,964	98,935	(5,971)
Contractual Payment to City	169,663	158,961	10,702	1,866,296	1,695,584	170,712
Miscellaneous Expense	19,941	12,601	7,340	189,679	141,529	48,150
Total Operating Disbursements	\$ 533,211	\$ 531,156	\$ 2,056	\$ 6,778,329	\$ 7,240,093	\$ (461,764)
Net Operating Receipts/(Disbursements)	\$ 121,940	\$ (36,198)	\$ 158,138	\$ 1,108,066	\$ (26,642)	\$ 1,134,707
Non-Operating Receipts/(Disbursements)						
Transfers-In	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other Receipts	7,500	4,500	3,000	145,652	156,957	(11,305)
Transfers-Out	(159,808)	-	(159,808)	(1,408,089)	-	(1,408,089)

City of Lawrence - Municipal Sewage Works Utility
606 - Operating Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Loan to Water Utility	-	-	-	(1,500,000)	-	(1,500,000)
Interest Expense	(92)	(92)	1	(14,240)	(179,902)	165,662
Principal Payment	-	-	-	-	-	-
Capital Leases	(2,023)	(1,217)	(806)	(201,127)	(207,098)	5,971
Accounts Payable	(80)	343	(423)	(911)	682	(1,593)
Other	-	-	-	-	(70,213)	70,213
Total Non-Operating Receipts/(Disbursements)	\$ (154,503)	\$ 3,534	\$ (158,037)	\$ (2,978,715)	\$ (299,574)	\$ (2,679,141)
Net Receipts	\$ (32,563)	\$ (32,664)	\$ 100	\$ (1,870,649)	\$ (326,216)	\$ (1,544,434)
Beginning Cash Position	\$ 2,884,237	\$ 5,265,161	\$ (2,380,924)	\$ 4,722,323	\$ 5,558,713	\$ (836,390)
<u>Change in Cash Position</u>	<u>(32,563)</u>	<u>(32,664)</u>	<u>100</u>	<u>(1,870,649)</u>	<u>(326,216)</u>	<u>(1,544,434)</u>
Ending Cash Position	\$ 2,851,674	\$ 5,232,498	\$ (2,380,824)	\$ 2,851,674	\$ 5,232,498	\$ (2,380,824)

City of Lawrence - Municipal Sewage Works Utility
606 - Operating Fund

Comparison Statement of Receipts, Disbursements, and Net Cash Position
For the Month Ended: November 30

	<u>MONTH-TO-DATE</u>				
	2016	2015	2014	2013	2012
Operating Receipts					
Residential Flat Rate Sales	\$ 334,419	\$ 247,912	\$ 301,565	\$ 299,362	\$ 352,190
Commercial Metered Sales	249,815	203,702	208,156	231,993	225,667
Residential Metered Sales	51,032	25,815	39,954	40,556	47,464
Penalties	17,504	15,244	15,601	14,490	15,487
Miscellaneous Receipts	2,380	2,285	3,330	1,660	3,900
Total Operating Receipts	\$ 655,151	\$ 494,958	\$ 568,606	\$ 588,061	\$ 644,708
Operating Disbursements					
Salaries and Benefits	\$ 100,406	\$ 116,867	\$ 100,512	\$ 99,129	\$ 106,614
Treatment Expense	175,045	202,212	352,846	347,000	-
Professional and Contractual Services	28,202	24,745	19,992	44,387	43,265
Materials and Supplies	28,293	6,497	11,181	3,257	30,015
Transportation Expense	3,154	3,927	9,819	4,766	20,333
Insurance Expense	-	-	961	1,432	-
Rental of Equipment	810	312	1,012	925	3,539
Purchased Power	7,698	5,034	11,353	10,814	6,224
Contractual Payment to City	169,663	158,961	105,974	1,072,639	211,948
Miscellaneous Expense	19,941	12,601	17,030	13,910	14,224
Total Operating Disbursements	\$ 533,211	\$ 531,156	\$ 630,678	\$ 1,598,259	\$ 436,161
Net Operating Receipts/(Disbursements)	\$ 121,940	\$ (36,198)	\$ (62,072)	\$ (1,010,198)	\$ 208,547
Non-Operating Receipts/(Disbursements)					
Transfers-In	\$ -	\$ -	\$ -	\$ -	\$ -
Other Receipts	7,500	4,500	6,650	3,000	15,000
Transfers-Out	(159,808)	-	-	-	-

City of Lawrence - Municipal Sewage Works Utility
606 - Operating Fund

Comparison Statement of Receipts, Disbursements, and Net Cash Position
For the Month Ended: November 30

	<u>MONTH-TO-DATE</u>				
	2016	2015	2014	2013	2012
Loan to Water Utility	-	-	-	-	-
Interest Expense	(92)	(92)	(102,132)	(262)	(222)
Principal Payment	-	-	(160,000)	-	-
Capital Leases	(2,023)	(1,217)	(127,543)	(3,165)	(1,641)
Accounts Payable	(80)	343	2,634	(133)	563
Other	-	-	-	-	(4,398)
Total Non-Operating Receipts/(Disbursements)	\$ (154,503)	\$ 3,534	\$ (380,391)	\$ (559)	\$ 9,302
Net Receipts	\$ (32,563)	\$ (32,664)	\$ (442,463)	\$ (1,010,757)	\$ 217,849
Beginning Cash Position	\$ 2,884,237	\$ 5,265,161	\$ 7,584,859	\$ 5,686,231	\$ 4,205,860
<u>Change in Cash Position</u>	<u>(32,563)</u>	<u>(32,664)</u>	<u>(442,463)</u>	<u>(1,010,757)</u>	<u>217,849</u>
Ending Cash Position	\$ 2,851,674	\$ 5,232,498	\$ 7,142,396	\$ 4,675,474	\$ 4,423,708

City of Lawrence - Municipal Sewage Works Utility
606 - Operating Fund

Comparison Statement of Receipts, Disbursements, and Net Cash Position
For the Year-to-Date Period Ended: November 30

	<u>YEAR-TO-DATE</u>				
	2016	2015	2014	2013	2012
Operating Receipts					
Residential Flat Rate Sales	\$ 4,108,176	\$ 3,808,198	\$ 3,841,038	\$ 3,864,751	\$ 3,850,311
Commercial Metered Sales	2,855,289	2,538,486	2,597,457	2,563,018	2,471,520
Residential Metered Sales	713,287	606,384	671,243	647,019	766,931
Penalties	165,943	223,076	213,740	198,428	175,927
Miscellaneous Receipts	43,700	37,308	38,860	37,835	36,307
Total Operating Receipts	\$ 7,886,395	\$ 7,213,451	\$ 7,362,339	\$ 7,311,050	\$ 7,300,996
Operating Disbursements					
Salaries and Benefits	\$ 1,230,594	\$ 1,327,431	\$ 1,156,414	\$ 1,275,517	\$ 1,216,013
Treatment Expense	2,157,159	1,885,941	1,768,546	1,928,092	1,755,683
Professional and Contractual Services	876,551	1,670,711	337,516	319,254	338,519
Materials and Supplies	208,815	290,528	216,313	301,008	210,334
Transportation Expense	83,943	65,032	85,612	68,315	71,415
Insurance Expense	68,393	60,013	71,316	45,811	55,763
Rental of Equipment	3,934	4,388	13,184	20,580	13,101
Purchased Power	92,964	98,935	77,863	84,952	71,975
Contractual Payment to City	1,866,296	1,695,584	1,165,714	2,132,379	1,165,714
Miscellaneous Expense	189,679	141,529	195,979	177,713	182,611
Total Operating Disbursements	\$ 6,778,329	\$ 7,240,093	\$ 5,088,457	\$ 6,353,621	\$ 5,081,128
Net Operating Receipts/(Disbursements)	\$ 1,108,066	\$ (26,642)	\$ 2,273,882	\$ 957,430	\$ 2,219,868
Non-Operating Receipts/(Disbursements)					
Transfers-In	\$ -	\$ -	\$ 2,622,217	\$ -	\$ -
Other Receipts	145,652	156,957	166,971	140,536	137,446
Transfers-Out	(1,408,089)	-	(731,656)	(37,894)	(36,397)

City of Lawrence - Municipal Sewage Works Utility
606 - Operating Fund

Comparison Statement of Receipts, Disbursements, and Net Cash Position
For the Year-to-Date Period Ended: November 30

	<u>YEAR-TO-DATE</u>				
	2016	2015	2014	2013	2012
Loan to Water Utility	(1,500,000)	-	-	-	-
Interest Expense	(14,240)	(179,902)	(315,624)	(195,820)	(202,021)
Principal Payment	-	-	(343,959)	-	-
Capital Leases	(201,127)	(207,098)	(229,308)	(53,045)	(19,044)
Accounts Payable	(911)	682	5,842	(823)	(4,675)
Other	-	(70,213)	(12,468)	(6,882)	(57,051)
Total Non-Operating Receipts/(Disbursements)	\$ (2,978,715)	\$ (299,574)	\$ 1,162,015	\$ (153,927)	\$ (181,742)
Net Receipts	\$ (1,870,649)	\$ (326,216)	\$ 3,435,896	\$ 803,502	\$ 2,038,126
Beginning Cash Position	\$ 4,722,323	\$ 5,558,713	\$ 3,706,500	\$ 3,871,972	\$ 2,385,582
<u>Change in Cash Position</u>	<u>(1,870,649)</u>	<u>(326,216)</u>	<u>3,435,896</u>	<u>803,502</u>	<u>2,038,126</u>
Ending Cash Position	\$ 2,851,674	\$ 5,232,498	\$ 7,142,396	\$ 4,675,474	\$ 4,423,708



MONTHLY UTILITIES FINANCIAL UPDATE

Municipal Sewage Works Utility
November 2016

Restricted Funds

City of Lawrence - Municipal Sewage Works Utility
607 - Bond and Interest Sinking Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Transfers In	\$ 64,808	\$ -	\$ 64,808	\$ 712,891	\$ -	\$ 712,891
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ 64,808	\$ -	\$ 64,808	\$ 712,891	\$ -	\$ 712,891
Operating Disbursements						
Principal	\$ 28,071	\$ -	\$ 28,071	\$ 84,213	\$ 41,731	\$ 42,482
Interest	3,795	-	3,795	139,736	6,071	133,665
Other	1,500	-	1,500	1,500	-	1,500
Total Operating Disbursements	\$ 33,366	\$ -	\$ 33,366	\$ 225,449	\$ 47,802	\$ 177,647
Net Receipts	\$ 31,442	\$ -	\$ 31,442	\$ 487,442	\$ (47,802)	\$ 535,244
Beginning Cash Position	\$ 408,198	\$ (47,802)	\$ 456,000	\$ (47,802)	\$ -	\$ (47,802)
<u>Change in Cash Position</u>	<u>31,442</u>	<u>-</u>	<u>31,442</u>	<u>487,442</u>	<u>(47,802)</u>	<u>535,244</u>
Ending Cash Position	\$ 439,640	\$ (47,802)	\$ 487,442	\$ 439,640	\$ (47,802)	\$ 487,442

City of Lawrence - Municipal Sewage Works Utility
609 - Sewer Bond Proceeds Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Transfers In	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Operating Disbursements						
Principal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Interest	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total Operating Disbursements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Net Receipts						
<hr/>						
Beginning Cash Position	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<u>Change in Cash Position</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Ending Cash Position	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

City of Lawrence - Municipal Sewage Works Utility
611 - Sewer '09 Bond Proceeds Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Transfers In	\$ -	\$ -	\$ -	\$ 97,632	\$ -	\$ 97,632
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ -	\$ -	\$ -	\$ 97,632	\$ -	\$ 97,632
Operating Disbursements						
Principal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Interest	-	-	-	-	-	-
Other	-	22,125	(22,125)	-	95,982	(95,982)
Total Operating Disbursements	\$ -	\$ 22,125	\$ (22,125)	\$ -	\$ 95,982	\$ (95,982)
Net Receipts	\$ -	\$ (22,125)	\$ 22,125	\$ 97,632	\$ (95,982)	\$ 193,614
<hr/>						
Beginning Cash Position	\$ -	\$ (73,857)	\$ 73,857	\$ (97,632)	\$ -	\$ (97,632)
<u>Change in Cash Position</u>	<u>-</u>	<u>(22,125)</u>	<u>22,125</u>	<u>97,632</u>	<u>(95,982)</u>	<u>193,614</u>
Ending Cash Position	\$ -	\$ (95,982)	\$ 95,982	\$ -	\$ (95,982)	\$ 95,982

City of Lawrence - Municipal Sewage Works Utility
616 - Sewer SRF Loan Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Transfers In	\$ -	\$ -	\$ -	\$ 159,336	\$ -	\$ 159,336
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ -	\$ -	\$ -	\$ 159,336	\$ -	\$ 159,336
Operating Disbursements						
Principal	\$ -	\$ 13,910	\$ (13,910)	\$ 56,142	\$ 69,551	\$ (13,409)
Interest	-	2,024	(2,024)	7,590	10,119	(2,529)
Other	-	-	-	-	-	-
Total Operating Disbursements	\$ -	\$ 15,934	\$ (15,934)	\$ 63,732	\$ 79,670	\$ (15,938)
Net Receipts	\$ -	\$ (15,934)	\$ 15,934	\$ 95,604	\$ (79,670)	\$ 175,274
Beginning Cash Position						
Change in Cash Position	-	(15,934)	15,934	95,604	(79,670)	175,274
Ending Cash Position	\$ -	\$ (79,670)	\$ 79,670	\$ -	\$ (79,670)	\$ 79,670

City of Lawrence - Municipal Sewage Works Utility
618 - Sewer Capital Improvement Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Transfers In	\$ 95,000	\$ -	\$ 95,000	\$ 438,230	\$ -	\$ 438,230
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ 95,000	\$ -	\$ 95,000	\$ 438,230	\$ -	\$ 438,230
Operating Disbursements						
Professional and Contractual Services	\$ -	\$ -	\$ -	\$ -	\$ 343,230	\$ (343,230)
Other	-	-	-	-	-	-
Total Operating Disbursements	\$ -	\$ -	\$ -	\$ -	\$ 343,230	\$ (343,230)
Net Receipts	\$ 95,000	\$ -	\$ 95,000	\$ 438,230	\$ (343,230)	\$ 781,461
Beginning Cash Position	\$ -	\$ (343,230)	\$ 343,230	\$ (343,230)	\$ -	\$ (343,230)
Change in Cash Position	95,000	-	95,000	438,230	(343,230)	781,461
Ending Cash Position	\$ 95,000	\$ (343,230)	\$ 438,230	\$ 95,000	\$ (343,230)	\$ 438,230



MONTHLY UTILITIES FINANCIAL UPDATE

Municipal Sewage Works Utility
November 2016

Restricted Debt Service Reserve Funds

City of Lawrence - Municipal Sewage Works Utility
608 - Sewer Debt Service Reserve Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Transfers In	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Operating Disbursements						
Principal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Interest	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total Operating Disbursements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Net Receipts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<hr/>						
Beginning Cash Position	\$ 233,251	\$ 233,251	\$ -	\$ 233,251	\$ 233,251	\$ -
<u>Change in Cash Position</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Ending Cash Position	\$ 233,251	\$ 233,251	\$ -	\$ 233,251	\$ 233,251	\$ -

City of Lawrence - Municipal Sewage Works Utility
615 - Sewer Debt Service Reserve '09 Fund

Statement of Receipts, Disbursements, and Net Cash Position
As of November 30, 2016

	<u>MONTH-TO-DATE</u>			<u>YEAR-TO-DATE</u>		
	2016	2015	Change	2016	2015	Change
Receipts						
Transfers In	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Miscellaneous	-	-	-	-	-	-
Total Operating Receipts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Operating Disbursements						
Principal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Interest	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total Operating Disbursements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Net Receipts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<hr/>						
Beginning Cash Position	\$ 253,830	\$ 253,792	\$ 38	\$ 253,830	\$ 253,792	\$ 38
<u>Change in Cash Position</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Ending Cash Position	\$ 253,830	\$ 253,792	\$ 38	\$ 253,830	\$ 253,792	\$ 38